

# Lipschitz $p$ -convex and $q$ -concave maps

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## Theorem (Ribe)

*If two Banach spaces are uniformly homeomorphic, they are crudely finitely representable in each other.*

## Ribe's program (as described by Mendel and Naor)

“The search for purely metric reformulations of basic linear concepts and invariants from the local theory of Banach spaces”.

Some examples of successes:

- 1 Superreflexivity (Bourgain, 1986).
- 2 Equivalent norm with modulus of convexity of power type  $p$  (Lee/Naor/Peres, 2009; Mendel/Naor, 2008).
- 3 Rademacher cotype (Mendel/Naor, 2008).
- 4 Rademacher type (Mendel/Naor, 2007).

# Ribe's program for maps

The local theory of Banach spaces is not only concerned with the spaces but also with the morphisms between them, and moreover there is a rich interplay between the properties of the spaces and those of the morphisms.

Nonlinear characterizations of linear properties for maps:

- 1  $p$ -summing maps (Farmer/Johnson, 2009).
- 2 Factorization through a subspace of  $L_p$  (Johnson/Maurey/Schechtman, 2009).
- 3  $p$ -nuclear operators (Chen/Zheng, 2012).
- 4 Modulus of convexity of power type  $p$  up to a renorming of the domain (C).
- 5 Rademacher cotype (C).
- 6 Rademacher type (C).

Banach lattice

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Banach space + partial order compatible with the norm and the algebraic structure

(think of a Banach space of functions or sequences, like  $L_p[0, 1]$  or  $c_0$ ).

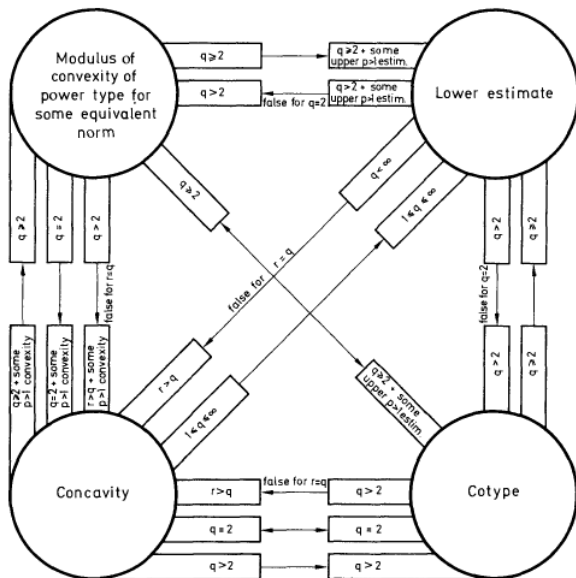
The important conditions:

- Both addition and multiplication by positive scalars preserve the order.
- For every  $x$  and  $y$  there exist a least upper bound  $x \vee y$  and a greatest lower bound  $x \wedge y$ .
- $\|x\| \leq \|y\|$  whenever  $|x| \leq |y|$ , where  $|x| = x \vee (-x)$ .

# The beauty of Banach lattices

- Paraphrasing Lindenstrauss and Tzafriri: this additional ingredient makes the theory of Banach lattices in some regards simpler, cleaner and more complete than the theory for general Banach spaces.
- For Banach lattices, Rademacher type, Rademacher cotype and having an equivalent uniformly  $p$ -convex norm are intimately related to the notions of convexity and concavity.

# Connections to other properties



# The Krivine functional calculus for lattices

In a space of functions, say  $C(K)$  for concreteness, we can consider expressions like

$$\left( \sum_{j=1}^n |f_j|^p \right)^{1/p}, \quad f_1, \dots, f_n \in C(K).$$

Krivine developed a functional calculus that allows us to make sense of this expression in any Banach lattice.



# Linear $p$ -convex maps

Consider  $1 \leq p \leq \infty$ . A linear map  $T : X \rightarrow E$  from a Banach space  $X$  to a Banach lattice  $E$  is called  $p$ -convex if there exists a constant  $M < \infty$  such that for all  $v_1, \dots, v_n \in X$

$$\left\| \left( \sum_{j=1}^n |Tv_j|^p \right)^{1/p} \right\|_E \leq M \left( \sum_{j=1}^n \|v_j\|_X^p \right)^{1/p}, \quad \text{if } 1 \leq p < \infty$$

or

$$\left\| \bigvee_{j=1}^n |Tv_j| \right\|_E \leq M \max_{1 \leq j \leq n} \|v_j\|_X, \quad \text{if } p = \infty.$$

The smallest such constant  $M$  is denoted  $M^{(p)}(T)$ .

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The smallest such constant  $M$  is denoted  $M^{(p)}(T)$ .

- IDEA:  $T$  induces a bounded map  $\ell_p(X) \rightarrow E(\ell_p)$ .

# Linear $q$ -concave maps

A linear map  $S : E \rightarrow Y$  from a Banach lattice  $E$  to a Banach space  $Y$  is called  $q$ -concave if there exists a constant  $M < \infty$  such that for all  $v_1, \dots, v_n \in E$ ,

$$\left( \sum_{j=1}^n \|Sv_j\|_Y^q \right)^{1/q} \leq M \left\| \left( \sum_{j=1}^n |v_j|^q \right)^{1/q} \right\|_E, \quad \text{if } 1 \leq q < \infty$$

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$$\max_{1 \leq j \leq n} \|Sv_j\|_Y \leq \left\| \bigvee_{j=1}^n |v_j| \right\|_E, \quad \text{if } q = \infty.$$

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The smallest such constant  $M$  is denoted  $M_{(q)}(S)$ .

- IDEA:  $S$  induces a bounded map  $E(\ell_q) \rightarrow \ell_q(Y)$ .

# A factorization theorem (Nikishin/Maurey)

Let  $E$  be a Banach space,  $(\Omega, \Sigma, \mu)$  a  $\sigma$ -finite measure space,  $1 \leq p < q < \infty$ ,  $T : E \rightarrow L_p(\mu)$  a linear map and  $0 < C < \infty$ . TFAE:

(a) There exists a density function  $h$  on  $\Omega$  and a linear map  $S : E \rightarrow L_q(hd\mu)$  with  $\|S\| \leq C$  such that

$$\begin{array}{ccc} E & \xrightarrow{T} & L_p(\mu) \\ \downarrow S & & \uparrow j \\ L_q(hd\mu) & \xrightarrow{i_{q,p}} & L_p(hd\mu) \end{array}$$

(b) For every  $x_1, \dots, x_n$  in  $E$ , 
$$\left\| \left( \sum_{j=1}^n |Tx_j|^q \right)^{1/q} \right\|_{L_p(\mu)} \leq C \left( \sum_{j=1}^n \|x_j\|^q \right)^{1/q}.$$

## Theorem (Krivine)

Let  $E, F$  be Banach spaces and  $L$  a Banach lattice. Suppose that  $T : E \rightarrow L$  is  $p$ -convex and  $S : L \rightarrow F$  is  $p$ -concave. Then the operator  $ST$  can be factored through an  $L_p(\mu)$  space. Moreover, we may arrange to have  $ST = S_1T_1$  with  $T_1 : E \rightarrow L_p(\mu)$ ,  $S_1 : L_p(\mu) \rightarrow F$ ,  $\|T_1\| \leq M^{(p)}(T)$  and  $\|S_1\| \leq M_{(p)}(S)$ .

$$\begin{array}{ccccc} E & \xrightarrow{T} & L & \xrightarrow{S} & F \\ & \searrow T_1 & & \nearrow S_1 & \\ & & L_p(\mu) & & \end{array}$$

- I did not try yet to obtain a fully nonlinear characterization of convexity/concavity.
- I started by working on a partially nonlinear situation, with maps between metric spaces and Banach lattices.
- I was aiming for nonlinear factorization theorems.

# Lipschitz $p$ -convex maps

Let  $1 \leq p \leq \infty$ . Let  $X$  be a metric space and  $E$  a Banach lattice. A Lipschitz map  $T : X \rightarrow E$  is called *Lipschitz  $p$ -convex* if there exists a constant  $C \geq 0$  for any  $x_j, x'_j \in X$  and  $\lambda_j \geq 0$ ,

$$\left\| \left( \sum_{j=1}^n \lambda_j |Tx_j - Tx'_j|^p \right)^{1/p} \right\|_E \leq C \left( \sum_{j=1}^n \lambda_j d(x_j, x'_j)^p \right)^{1/p},$$

(with the obvious adjustment if  $p = \infty$ ). The smallest such constant  $C$  is called the *Lipschitz  $p$ -convexity constant* of  $T$  and is denoted by  $M_{\text{Lip}}^{(p)}(T)$ .



It would be nice to apply the usual Farmer/Johnson/Mendel/Schechtman argument and obtain that in the definition of Lipschitz  $p$ -convexity it suffices to consider  $\lambda_j = 1$ , i.e.

$$\left\| \left( \sum_{j=1}^n |Tx_j - Tx'_j|^p \right)^{1/p} \right\|_E \leq C \left( \sum_{j=1}^n d(x_j, x'_j)^p \right)^{1/p}.$$

That is indeed the case if the lattice has certain continuity properties, but let's avoid the technicalities.

# Nonlinear Maurey/Nikishin factorization (C)

Let  $X$  be a metric space,  $(\Omega, \Sigma, \mu)$  a  $\sigma$ -finite measure space,  $1 \leq p < q < \infty$ ,  $T : X \rightarrow L_p(\mu)$  a Lipschitz map and  $0 < C < \infty$ . TFAE:

- (a) There exists a density function  $h$  on  $\Omega$  and a Lipschitz map  $S : X \rightarrow L_q(hd\mu)$  with  $\text{Lip}(S) \leq C$  such that

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- (b) For every  $x_j, x'_j \in X$  and  $\lambda_j \geq 0$ ,

$$\left\| \left( \sum_{j=1}^n \lambda_j |Tx_j - Tx'_j|^q \right)^{1/q} \right\|_{L_p(\mu)} \leq C \left( \sum_{j=1}^n \lambda_j d(x_j, x'_j)^q \right)^{1/q}.$$

# Molecules and the Lipschitz-free space

- A molecule on a metric space  $X$  is  $m : X \rightarrow \mathbb{R}$  such that

$$\sum_{x \in X} m(x) = 0.$$

- Those of the form

$$m_{xx'} := \chi_{\{x\}} - \chi_{\{x'\}}$$

with  $x, x' \in X$  are called *atoms*.

- The Lipschitz-free space of  $X$  is (the completion of) the space of molecules with the norm

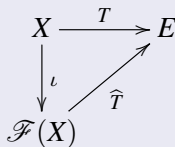
$$\|m\|_{\mathcal{F}(X)} := \inf \left\{ \sum_{j=1}^n |a_j| d(x_j, x'_j) : m = \sum_{j=1}^n a_j m_{x_j x'_j} \right\}.$$

- $\mathcal{F}(X)^* = \text{Lip}_0(X)$ .

# Universal property of Lipschitz-free spaces

## Theorem (Arens/Eells)

Let  $X$  be a metric space with a designated point  $0 \in X$ . The map  $\iota : x \mapsto m_{x0}$  is an isometric embedding of  $X$  into  $\mathcal{F}(X)$ . Moreover, for any Banach space  $E$  and any Lipschitz map  $T : X \rightarrow E$  with  $T(0) = 0$  there is a unique linear map  $\widehat{T} : \mathcal{F}(X) \rightarrow E$  such that  $\widehat{T} \circ \iota = T$ . Furthermore,  $\|\widehat{T}\| = \text{Lip}(T)$ .



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$$\begin{array}{ccc} X & \xrightarrow{T} & E \\ \downarrow \iota & \nearrow \widehat{T} & \\ \mathcal{F}(X) & & \end{array}$$

NOTE: To evaluate the norm of the linear extension  $\widehat{T}$ , it suffices to look at the images of atoms.

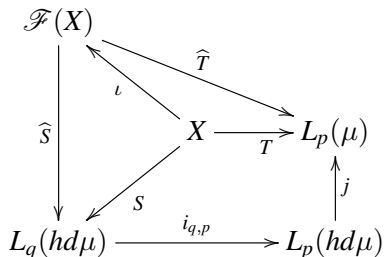
# Relating the linear and nonlinear theorems

Let  $X$  be a metric space,  $(\Omega, \Sigma, \mu)$  a  $\sigma$ -finite measure space,  $1 \leq p < q < \infty$ ,  $T : X \rightarrow L_p(\mu)$  a Lipschitz map.

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# A consequence of the linear theorem

Let  $X$  be a metric space,  $(\Omega, \Sigma, \mu)$  a  $\sigma$ -finite measure space,  $1 \leq p < q < \infty$ ,  $T : X \rightarrow L_p(\mu)$  a Lipschitz map and  $0 < C < \infty$ . TFAE:

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(b) For every  $m_1, \dots, m_n \in \mathcal{F}(X)$ ,

$$\left\| \left( \sum_{j=1}^n |\hat{T}m_j|^q \right)^{1/q} \right\|_{L_p(\mu)} \leq C \left( \sum_{j=1}^n \|m_j\|_{\mathcal{F}(X)}^q \right)^{1/q}, \text{ where}$$

$\hat{T} : \mathcal{F}(X) \rightarrow L_p(\mu)$  is the linearization of  $T$ .



# Putting everything together

## Corollary (C)

Let  $X$  be a metric space,  $(\Omega, \Sigma, \mu)$  a  $\sigma$ -finite measure space,  $1 \leq p < q < \infty$ ,  $T : X \rightarrow L_p(\mu)$  a Lipschitz map and  $0 < C < \infty$ . TFAE:

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where  $\hat{T} : \mathcal{F}(X) \rightarrow L_p(\mu)$  is the linearization of  $T$ .

(b) For every  $x_j, x'_j \in X$  and  $\lambda_j \geq 0$ ,

$$\left\| \left( \sum_{j=1}^n \lambda_j |Tx_j - Tx'_j|^q \right)^{1/q} \right\|_{L_p(\mu)} \leq C \left( \sum_{j=1}^n \lambda_j d(x_j, x'_j)^q \right)^{1/q}.$$

## Theorem (C)

Let  $X$  be a metric space and  $E$  a Banach lattice. A Lipschitz map  $T : X \rightarrow E$  is Lipschitz  $p$ -convex if and only if  $\hat{T} : \mathcal{F}(X) \rightarrow E$  is  $p$ -convex. Moreover, in this case the  $p$ -convexity constants are the same.

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$$\begin{array}{ccc} X & \xrightarrow{T} & E \\ \downarrow \iota & \nearrow \hat{T} & \\ \mathcal{F}(X) & & \end{array}$$

The “if” part is trivial:  $p$ -convexity of  $\hat{T}$  clearly implies Lipschitz  $p$ -convexity of  $T$  with no increment in the constant, since  $\|m_{xx'}\|_{\mathcal{F}(X)} = d(x, x')$  and  $\hat{T}m_{xx'} = Tx - Tx'$ .

# The proof

Now suppose that  $T$  is Lipschitz  $p$ -convex. The strategy of the proof will be to show that  $\widehat{T}^* : E^* \rightarrow \mathcal{F}(X)^* = \text{Lip}_0(X)$  is  $p'$ -concave.

Let  $\varphi_j^* \in E^*$  be arbitrary. For any  $x_j, x'_j \in X$  with  $x_j \neq x'_j$  we have

$$\left( \sum_j \left| \frac{\langle \varphi_j^*, Tx_j - Tx'_j \rangle}{d(x_j, x'_j)} \right|^{p'} \right)^{1/p'} = \sup_{\sum_j |\alpha_j|^p \leq 1} \sum_j \alpha_j \frac{\langle \varphi_j^*, Tx_j - Tx'_j \rangle}{d(x_j, x'_j)}.$$

Using Hölder's inequality for lattices, the latter is bounded by

$$\begin{aligned} & \sup_{\sum_j |\alpha_j|^p \leq 1} \left( \left( \sum_j |\varphi_j^*|^{p'} \right)^{1/p'} \right) \left( \left( \sum_j |\alpha_j|^p \frac{|Tx_j - Tx'_j|^p}{d(x_j, x'_j)^p} \right)^{1/p} \right) \\ & \leq \left\| \left( \sum_j |\varphi_j^*|^{p'} \right)^{1/p'} \right\|_{L^*} \sup_{\sum_j |\alpha_j|^p \leq 1} \left\| \left( \sum_j |\alpha_j|^p \frac{|Tx_j - Tx'_j|^p}{d(x_j, x'_j)^p} \right)^{1/p} \right\|_E \end{aligned}$$

# The proof

The Lipschitz  $p$ -convexity of  $T$  allows us to bound this by

$$\begin{aligned} \left\| \left( \sum_j |\varphi_j^*|^{p'} \right)^{1/p'} \right\|_{E^*} M_{\text{Lip}}^{(p)}(T) \sup_{\sum_j |\alpha_j|^p \leq 1} \left( \sum_j |\alpha_j|^p \frac{d(x_j, x'_j)^p}{d(x_j, x'_j)^p} \right)^{1/p} \\ = M_{\text{Lip}}^{(p)}(T) \left\| \left( \sum_j |\varphi_j^*|^{p'} \right)^{1/p'} \right\|_{E^*}. \end{aligned}$$

Therefore,

$$\left( \sum_j \left| \frac{(\hat{T}^* \varphi_j^*)(x_j) - (\hat{T}^* \varphi_j^*)(x'_j)}{d(x_j, x'_j)} \right|^{p'} \right)^{1/p'} \leq M_{\text{Lip}}^{(p)}(T) \left\| \left( \sum_j |\varphi_j^*|^{p'} \right)^{1/p'} \right\|_{E^*},$$

so taking the supremum over all pairs  $x_j, x'_j \in X$  with  $x_j \neq x'_j$  we conclude

$$\left( \sum_j \|\hat{T}^* \varphi_j^*\|_{\text{Lip}}^{p'} \right)^{1/p'} \leq M_{\text{Lip}}^{(p)}(T) \left\| \left( \sum_j |\varphi_j^*|^{p'} \right)^{1/p'} \right\|_{E^*}.$$

Since the  $\varphi_j^* \in L^*$  were arbitrary, this means that  $\hat{T}^* : L^* \rightarrow \text{Lip}_0(X)$  is  $p'$ -concave with  $M_{(p')}(\hat{T}^*) \leq M_{\text{Lip}}^{(p)}(T)$ , and by duality  $\hat{T} : \mathcal{F}(X) \rightarrow L$  is  $p$ -convex with  $M^{(p)}(\hat{T}) \leq M_{\text{Lip}}^{(p)}(T)$ .

- For a moment, one could think that in particular we have a result in the spirit of the Godefroy/Kalton theorem for the BAP, that is, for a Banach lattice  $E$

$$E \text{ is } p\text{-convex} \iff \mathcal{F}(E) \text{ is } p\text{-convex.}$$

However, what we do have is

$$id_E : E \rightarrow E \text{ is } p\text{-convex} \iff \widehat{id}_E : \mathcal{F}(E) \rightarrow E \text{ is } p\text{-convex.}$$

- Because of the role played by duality, it seems unlikely that these ideas could be used to prove a more similar result for other classes of operators obtained by replacing the expression  $\left(\sum_j |x_j|^p\right)^{1/p}$  by other homogeneous functions given by the Krivine functional calculus for Banach lattices.

# Linear $p$ -concave maps

A linear operator  $S : L \rightarrow E$  from a Banach lattice  $L$  to a Banach space  $E$  is called  $p$ -concave if there exists a constant  $M < \infty$  such that for all  $v_1, \dots, v_n \in L$

$$\left( \sum_{j=1}^n \|Sv_j\|_E^p \right)^{1/p} \leq M \left\| \left( \sum_{j=1}^n |v_j|^p \right)^{1/p} \right\|_L, \quad \text{if } 1 \leq p < \infty$$

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# Lipschitz $p$ -concave maps

Let  $X$  be a metric space and  $L$  a Banach lattice. A Lipschitz map  $T : L \rightarrow X$  is called *Lipschitz  $p$ -concave* if there exists a constant  $C \geq 0$  such that for any  $v_j, v'_j \in L$ ,

$$\left( \sum_{j=1}^n d(Tv_j, Tv'_j)^p \right)^{1/p} \leq C \left\| \left( \sum_{j=1}^n |v_j - v'_j|^p \right)^{1/p} \right\|_L.$$

The smallest such constant  $C$  is the *Lipschitz  $p$ -concavity constant* of  $T$  and is denoted by  $M_{(p)}^{\text{Lip}}(T)$ .

**NOTE:** In the case of Lipschitz concavity we can always “add constants” to the inequality.

## Theorem (Krivine)

Let  $E, F$  be Banach spaces and  $L$  a Banach lattice. Suppose that  $T : E \rightarrow L$  is  $p$ -convex and  $S : L \rightarrow F$  is  $p$ -concave. Then the operator  $ST$  can be factored through an  $L_p(\mu)$  space. Moreover, we may arrange to have  $ST = S_1T_1$  with  $T_1 : E \rightarrow L_p(\mu)$ ,  $S_1 : L_p(\mu) \rightarrow F$ ,  $\|T_1\| \leq M^{(p)}(T)$  and  $\|S_1\| \leq M_{(p)}(S)$ .

$$\begin{array}{ccccc} E & \xrightarrow{T} & L & \xrightarrow{S} & F \\ & \searrow T_1 & & \nearrow S_1 & \\ & & L_p(\mu) & & \end{array}$$

# Can we get something nonlinear easily?

Suppose that  $T : X \rightarrow L$  is Lipschitz  $p$ -convex and  $S : L \rightarrow Y$  is Lipschitz  $p$ -concave.

$$X \xrightarrow{T} L \xrightarrow{S} Y$$

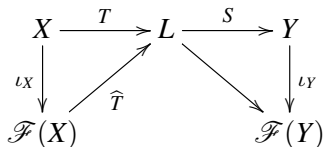
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$$\begin{array}{ccccc} X & \xrightarrow{T} & L & \xrightarrow{S} & Y \\ \iota_X \downarrow & & \nearrow \hat{T} & & \downarrow \iota_Y \\ \mathcal{F}(X) & & & & \mathcal{F}(Y) \end{array}$$

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Suppose that  $T : X \rightarrow L$  is Lipschitz  $p$ -convex and  $S : L \rightarrow Y$  is Lipschitz  $p$ -concave.



The map  $\iota_Y \circ S$  is not linear!

## Theorem (C)

Let  $X, Y$  be metric spaces with  $Y$  complete and  $L$  a Banach lattice. Suppose that  $T : X \rightarrow L$  is Lipschitz  $p$ -convex and  $S : L \rightarrow Y$  is Lipschitz  $p$ -concave. Then the operator  $ST$  can be factorized through an  $L_p(\mu)$  space. Moreover, we may arrange to have  $ST = S_1T_1$  with  $T_1 : X \rightarrow L_p(\mu)$ ,  $S_1 : L_p(\mu) \rightarrow Y$ ,  $\text{Lip}(T_1) \leq M_{\text{Lip}}^{(p)}(T)$  and  $\text{Lip}(S_1) \leq M_{(p)}^{\text{Lip}}(S)$ .

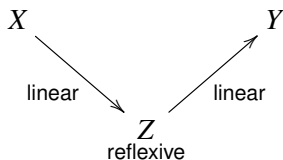
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# Magic?

- So far we have obtained nice results, but it's not quite clear why they work.
- The situation will be greatly clarified thanks to the factorization theory of Raynaud and Tradacete.

# Factoring weakly compact operators

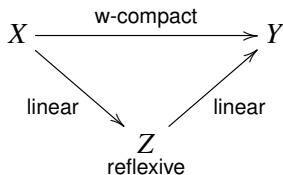
Easy way to construct a weakly compact operator: go through a reflexive space.





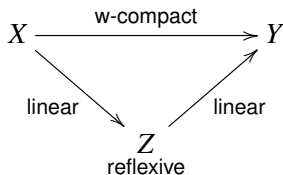
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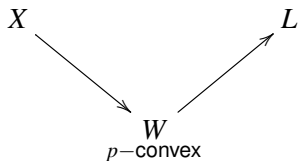


**Theorem (Davis-Figiel-Johnson-Pelczynski)**

*This is the only way.*

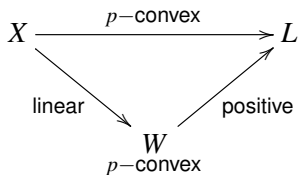
# Factoring $p$ -convex maps

Easy way to construct a  $p$ -convex linear map: go through a  $p$ -convex Banach lattice.



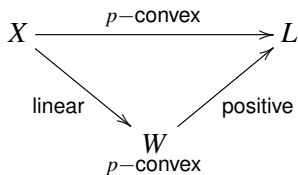
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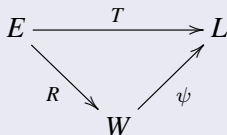
## Theorem (Raynaud/Tradacete)

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# Factoring $p$ -convex maps

## Theorem (Raynaud/Tradacete)

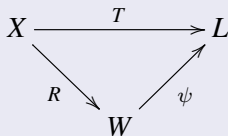
Let  $L$  be a Banach lattice,  $E$  a Banach space and  $1 \leq p \leq \infty$ . A linear operator  $T : E \rightarrow L$  is  $p$ -convex if and only if there exist a  $p$ -convex Banach lattice  $W$ , a positive operator (in fact, an injective interval preserving lattice homomorphism)  $\psi : W \rightarrow L$  and another linear operator  $R : E \rightarrow W$  such that  $T = \psi R$ .



Moreover,  $M^{(p)}(T) = \inf \|R\| \cdot M^{(p)}(I_W) \cdot \|\psi\|$ .

## Theorem (C)

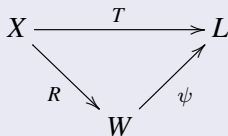
Let  $L$  be a Banach lattice,  $X$  a metric space and  $1 \leq p \leq \infty$ . A Lipschitz map  $T : X \rightarrow L$  is Lipschitz  $p$ -convex if and only if there exist a  $p$ -convex Banach lattice  $W$ , a positive operator (in fact, an injective interval preserving lattice homomorphism)  $\psi : W \rightarrow L$  and another Lipschitz map  $R : X \rightarrow W$  such that  $T = \psi R$ .



Moreover,  $M_{\text{Lip}}^{(p)}(T) = \inf \text{Lip}(R) \cdot M^{(p)}(I_W) \cdot \|\psi\|$ .

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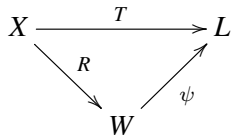
Moreover,  $M_{\text{Lip}}^{(p)}(T) = \inf \text{Lip}(R) \cdot M^{(p)}(I_W) \cdot \|\psi\|$ .

**NOTE:** This theorem implies the linear one.



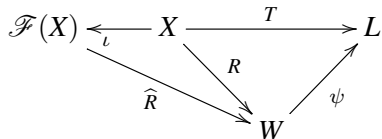
# A proof without duality

Suppose that  $T : X \rightarrow L$  is Lipschitz  $p$ -convex.



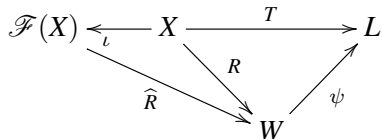
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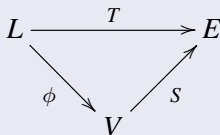


Hence  $\widehat{T} = \psi \circ \widehat{R}$  is  $p$ -convex and with the same constant.

# Factorization characterization of $q$ -concavity

## Theorem (Reisner; Raynaud/Tradacete)

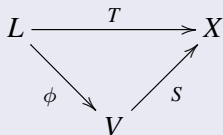
Let  $L$  be a Banach lattice,  $E$  a Banach space and  $1 \leq q \leq \infty$ . A linear operator  $T : L \rightarrow E$  is  $q$ -concave if and only if there exist a  $q$ -concave Banach lattice  $V$ , a positive operator  $\phi : L \rightarrow V$  (in fact, a lattice homomorphism with dense image), and another operator  $S : V \rightarrow E$  such that  $T = S\phi$ .



Moreover,  $M_{(q)}(T) = \inf \|\phi\| \cdot M_{(q)}(I_V) \cdot \|S\|$ .

## Theorem (C)

Let  $L$  be a Banach lattice,  $X$  a complete metric space and  $1 \leq q \leq \infty$ . A Lipschitz map  $T : L \rightarrow X$  is Lipschitz  $q$ -concave if and only if there exist a  $q$ -concave Banach lattice  $V$ , a positive operator  $\phi : L \rightarrow V$  (in fact, a lattice homomorphism with dense image), and another Lipschitz map  $S : V \rightarrow X$  such that  $T = S\phi$ .



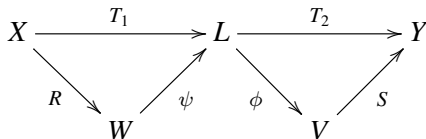
Moreover,  $M_{(q)}^{\text{Lip}}(T) = \inf \|\phi\| \cdot M_{(q)}(I_V) \cdot \text{Lip}(S)$ .

# Nonlinear factorization through $L_p$ revisited

## Lemma (Raynaud/Tradacete)

*If  $W, V$  are quasi-Banach lattices with  $W$   $p$ -convex and  $V$   $p$ -concave, then every lattice homomorphism  $h : W \rightarrow V$  factors through some  $L_p(\mu)$ , and the factors are lattice homomorphisms.*

The nonlinear Krivine theorem is an easy consequence of the lemma and our characterizations: if  $T_1 : X \rightarrow L$  is Lipschitz  $p$ -convex and  $T_2 : L \rightarrow Y$  is Lipschitz  $p$ -concave (with  $Y$  complete),

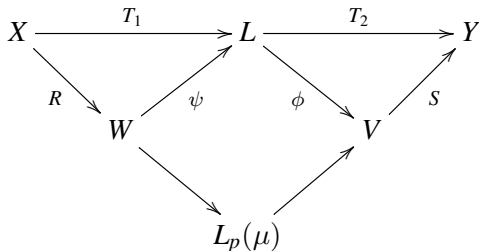


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## Corollary (C)

Now if  $T_1 : X \rightarrow L$  is Lipschitz  $p$ -convex and  $T_2 : L \rightarrow Y$  is Lipschitz  $q$ -concave, with  $Y$  complete and  $1 \leq p < q$ , then  $T_2 T_1$  Lipschitz factors through a canonical inclusion  $i_{p,q} : L_p(\mu) \rightarrow L_q(\mu)$ .

$$\begin{array}{ccccc} X & \xrightarrow{T_1} & L & \xrightarrow{T_2} & Y \\ R \downarrow & & & & \uparrow S \\ L_p(\mu) & \xrightarrow{i_{p,q}} & L_q(\mu) & & \end{array}$$



## Proposition

*Let  $X, Y$  be metric spaces with  $Y$  complete and  $E$  a Banach lattice, and  $1 \leq p, q \leq \infty$ . Suppose that  $T : X \rightarrow E$  is Lipschitz  $p$ -convex and  $S : E \rightarrow Y$  is Lipschitz  $q$ -concave. Then for every  $\theta \in (0, 1)$ ,  $ST$  factors through a Banach lattice  $U_\theta$  that is  $\frac{p}{p(1-\theta)+\theta}$ -convex and  $\frac{q}{1-\theta}$ -concave.*

## Corollary

*Let  $E$  be a Banach lattice,  $1 \leq p, q \leq \infty$ , and assume that  $T : E \rightarrow E$  is both Lipschitz  $p$ -convex and Lipschitz  $q$ -concave. Then for each  $\theta \in (0, 1)$ ,  $T^2$  factors through a  $\frac{p}{p(1-\theta)+\theta}$ -convex and  $\frac{q}{1-\theta}$ -concave Banach lattice. In particular, if  $p > 1$  and  $q < \infty$  then  $T$  factors through a super reflexive Banach lattice.*

# Lipschitz factorization implies linear factorization

If a linear map  $T : X \rightarrow Y$  between Banach spaces can be factored as a Lipschitz  $p$ -convex map followed by a Lipschitz  $q$ -concave one, is there a factorization where the factor maps are in addition linear?

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## Theorem (C)

*Let  $T : X \rightarrow Y$  be a linear map between a Banach space  $X$  and a dual Banach space  $Y$ , and assume that  $T$  admits a factorization  $T = T_2 T_1$  where  $T_1$  is Lipschitz  $p$ -convex and  $T_2$  is Lipschitz  $q$ -concave, with  $1 \leq q < p < \infty$ . Then there is also a factorization  $T = \tau_2 \tau_1$  where  $\tau_1$  is  $p$ -convex and  $\tau_2$  is  $q$ -concave, and moreover  $M^{(p)}(\tau_1) \leq M_{\text{Lip}}^{(p)}(T_1)$  and  $M_{(q)}(\tau_2) \leq M_{(q)}^{\text{Lip}}(T_2)$ .*

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**Remark:** When  $q = 2$  the theorem holds for a general Banach space  $Y$ , because in a Hilbert space all subspaces are 1-complemented.

# Factorizations for just one map

What can we say if a linear operator  $T : E \rightarrow F$  between Banach lattices is **both**  $p$ -convex and  $q$ -concave?

Does it factor as  $T_2 T_1$  with  $T_2$   $p$ -convex and  $T_1$   $q$ -concave? (Note that such a product is always  $p$ -convex and  $q$ -concave)

$$\begin{array}{ccc} E & \xrightarrow{T} & F \\ \phi \downarrow & & \uparrow \varphi \\ E_q & \xrightarrow{R} & F_p \end{array}$$

where  $\phi$  and  $\varphi$  are positive linear maps,  $E_q$  is  $q$ -concave,  $F_p$  is  $p$ -convex and  $R$  is a bounded linear map.

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## Answer (Raynaud/Tradacete)

No. Example: for  $1 < q < p < \infty$ , the formal inclusion  $L_p(0, 1) \rightarrow L_q(0, 1)$  does not admit such a factorization.

## Theorem (C)

*Let  $T : E \rightarrow F$  be a linear map between Banach lattices  $E$  and  $F$ , and assume that  $T$  admits a factorization  $T = T_2 T_1$  where  $T_1$  is Lipschitz  $q$ -concave and  $T_2$  is Lipschitz  $p$ -convex. Then there is also a factorization  $T = \tau_2 \tau_1$  where  $\tau_1$  is  $q$ -concave and  $\tau_2$  is  $p$ -convex, and moreover  $M^{(p)}(\tau_2) \leq M_{\text{Lip}}^{(p)}(T_2)$  and  $M_{(q)}(\tau_1) \leq M_{(q)}^{\text{Lip}}(T_1)$ .*

# Nonlinear implies linear again

## Theorem (C)

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## Corollary

*A map that is both Lipschitz  $p$ -convex and Lipschitz  $q$ -concave does not necessarily admit a factorization as a Lipschitz  $q$ -concave map followed by a Lipschitz  $p$ -convex one.*



## Theorem (Raynaud/Tradacete)

*Suppose that a linear operator  $T : E \rightarrow F$  between Banach lattices is  $p$ -convex and  $q$ -concave, with  $1 < p \leq \infty$  and  $1 \leq q < \infty$ . Then for every  $\theta \in (0, 1)$ ,  $T = T_2 T_1$  where  $T_2$  is  $p_\theta = \frac{p}{\theta + (1-\theta)p}$ -convex and  $T_1$  is  $q_\theta = \frac{q}{1-\theta}$ -concave.*

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$$\begin{array}{ccc} E & \xrightarrow{T} & F \\ \phi \downarrow & & \uparrow \varphi \\ E_\theta & \xrightarrow{R} & F_\theta \end{array}$$

where  $\phi$  and  $\varphi$  are positive linear maps,  $E_\theta$  is  $q_\theta$ -concave,  $F_\theta$  is  $p_\theta$ -convex and  $R$  is a bounded linear map.

# The nonlinear situation is unclear

## Question

Suppose that a Lipschitz map  $T : E \rightarrow F$  between Banach lattices is Lipschitz  $p$ -convex and Lipschitz  $q$ -concave, with  $1 < p \leq \infty$  and  $1 \leq q < \infty$ . Can we find  $1 < p_0 < p$  and  $q < q_0 < \infty$  so that there is a factorization of  $T$  as

$$\begin{array}{ccc} E & \xrightarrow{T} & F \\ \phi \downarrow & & \uparrow \varphi \\ E_0 & \xrightarrow{R} & F_0 \end{array}$$

where  $\phi$  and  $\varphi$  are positive linear maps,  $E_0$  is  $q_0$ -concave,  $F_0$  is  $p_0$ -convex and  $R$  is a Lipschitz map? Moreover: given  $\theta \in (0, 1)$ , can we have  $p_0 = \frac{p}{\theta + (1-\theta)p}$  and  $q_0 = \frac{q}{1-\theta}$ ?

# Challenges

- 1 The proof of the linear result cannot be easily adapted to the Lipschitz context.
- 2 The arguments in that proof are heavily based on complex interpolation because that method works very well for lattices.
- 3 Complex interpolation, however, is not well suited to work with Lipschitz maps.
- 4 The results available require strong extra assumptions due to the fact that a Lipschitz function generally does not preserve analyticity.