

# Remarks on the set of norm-attaining functionals and differentiability

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the occasion of Robert Deville's 60th birthday

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# Norm attaining functionals and BPB theorem

Given  $f: X \rightarrow \mathbb{R}$  in  $L(X, \mathbb{R}) = X^*$ .

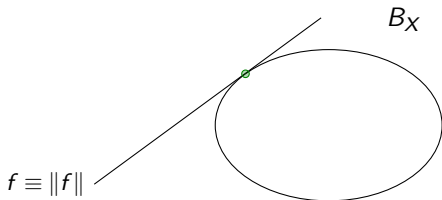
$$\|f\| = \sup\{|f(x)|: \|x\| \leq 1\}$$

**Definition:** (Norm Attaining Operators)

A functional  $f$  is said a *norm attaining functional* when

$$\exists x_0 \in S_X \text{ such that } |f(x_0)| = \|f\|.$$

The set of those functionals is denoted by  $NA(X) \subset X^*$ .



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### Theorem: (Bollobás, 1970)

For every  $\varepsilon > 0$ , there exists  $\delta > 0$  such that if  $|x^*(x)| > 1 - \delta$  and  $\|x^*\| = \|x\| = 1$  then, there exists  $x_0^*$  and  $x_0$   $\varepsilon$ -near of  $x^*$  and  $x$  such that  $|x_0^*(x_0)| = 1 = \|x_0^*\| = \|x_0\|$ . ( $\delta$  universal)

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### Proof: (usual)

Ekeland VP+ Hahn-Banach separation.

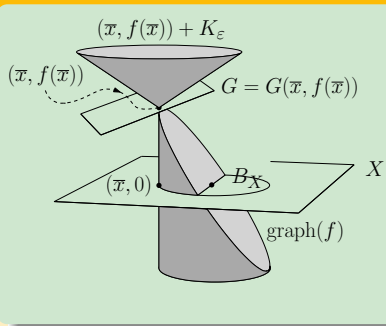
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Bishop-Phelps-Bollobás using differentiability.



# Smooth Variational Principle (Deville-Godefroy-Zizler)

## Theorem (Smooth Variational Principle)

$(X, \|\cdot\|)$  Banach. Assume:

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$X$  with a G-norm  $\Rightarrow a = 1/8$ .

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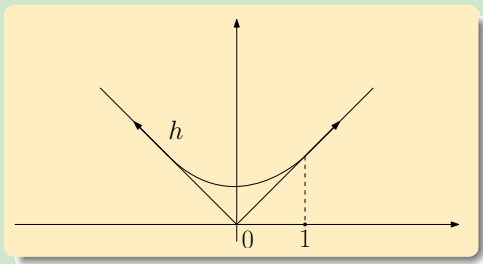
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We don't use Hahn-Banach to separate, just Fermat theorem.

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The original norm can be any given norm! (and can be done  $|\cdot| \stackrel{\delta}{\sim} \|\cdot\|$ )

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- Find  $|\cdot| \stackrel{\rho}{\sim} \|\cdot\|$  dual  $R$ , keeping norm attaining.

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- Taking  $\rho$  small enough,  $\|x_0 - x_\varepsilon\| < \varepsilon$  and  $\|f - g\|^* < \varepsilon$ .

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- 1 This is, somehow, a constructive version of BPB theorem: explicit formula for the functional as the derivative of an explicit norm on a certain point. The point however, is still just a consequence of completeness.
- 2 Bishop–Phelps theorem needs a smaller  $\delta$ .
- 3 The renorming trick does not work for “Fréchet differentiability”:  
M.D. Acosta and M. Ruiz-Galán proved that
  - Every Banach space can be renormed so that the new set  $NA(X)$  has an interior point.
  - $NA(X)$  has empty interior whenever the norm of a nonreflexive separable space  $X$  is Fréchet differentiable.

Residuality of  $NA(X)$  and differentiability.

**Definition:** (Porous set)

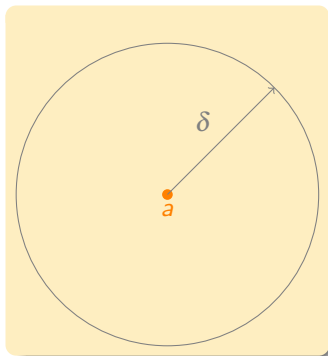
$A \subset X$  is porous when  $\exists \lambda \in (0, 1)$ :

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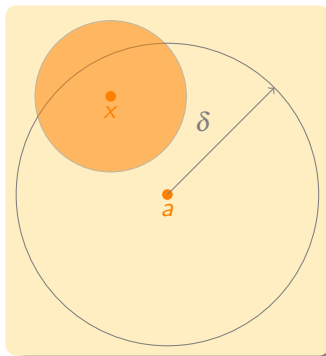
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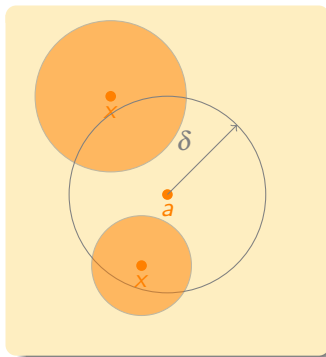
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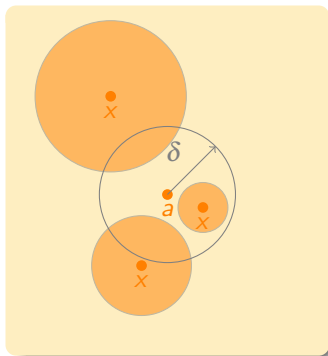
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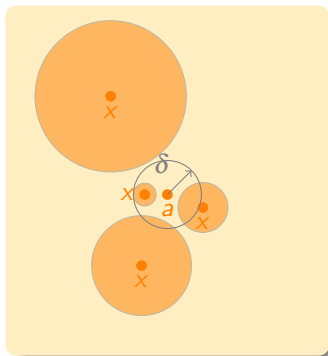
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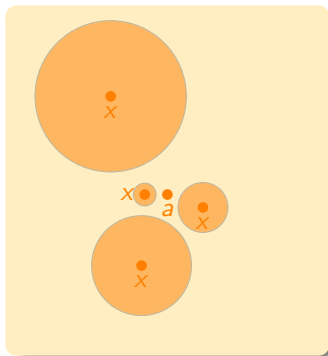




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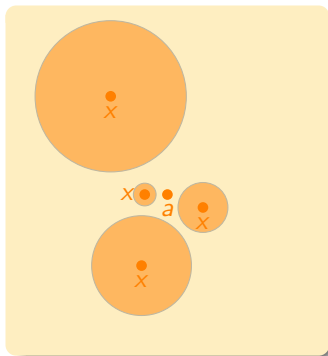
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- Bishop-Phelps theorem concludes that  $F(X^*, \|\cdot\|^*)$  is dense.

Proof:  $[\Leftarrow]$

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- 2  $X$  LUR, then  $NA(X) = F(X^*, \|\cdot\|^*) \Rightarrow NA(X)$  is  $G_\delta$ -dense.

Thanks Tony and Matias!

Congratulations to Robert.

Thank you all for your attention!



The best behavior of norm attaining is under RNP

### Proposition

$X$  separable. TFAE:

- $X$  has the RNP property.
- Every equivalent ball is dentable.
- Every equivalent  $G$ -ball is dentable.
- Every dual norm is Fréchet in a  $G_\delta$ .
- Every equivalent norm,  $NA(X)$  is residual.
- Every equivalent norm,  $NA(X)$  is 2nd category.



## Definition

Every cbc set contains a point where  $\tau_{\|\cdot\|} = w$ .

## Proposition

$X$  separable. TFAE:

- $X$  has the CPCP property.
- Every equivalent strictly convex norm on  $X$  is dentable.
- Every equivalent strictly convex norm on  $X$ ,  $NA(X)$  is residual.
- Every equivalent strictly convex norm on  $X$ ,  $NA(X)$  is of second category.

RNP implies CPCP. However,  $JT_*$  has CPCP but not the RNP