

# Smooth approximations of norms with asymptotic improvement

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20- 23 June 2017

# Smooth bumps and structure

- **Meshkov (1978)**. If  $X$  and  $X^*$  admit a  $C^2$ -smooth bump, then  $X$  is isomorphic to a Hilbert space.
- **Fabian, Whitfield, Zizler (1983)**. If  $X$  admits a bump with locally uniformly continuous derivative, then either  $X$  contains a copy of  $c_0$  or it is super-reflexive.  
If  $X$  admits a bump with locally Lipschitz derivative and it contains no copy of  $c_0$ , then  $X$  is (super-reflexive) with type 2.
- **Deville (1989)**. Assume that  $X$  admits a  $C^\infty$ -smooth bump and it contains no copy of  $c_0$ . Then  $X$  is of exact cotype  $2k$ , for some integer  $k$ , and it contains a copy of  $\ell_{2k}$ .

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- If  $X$  admits a  $C^1$ -smooth norm and  $X^*$  admits a dual LUR norm (e.g. if  $X$  is WCG), then every equivalent norm on  $X$  can be approximated by a  $C^1$ -smooth one.
- Hájek, Talponen (2014). If  $X$  is separable and it admits a  $C^k$ -smooth norm, then every equivalent norm on  $X$  can be approximated by a  $C^k$ -smooth one.
- Biele, Smith (2016). Every equivalent norm on  $c_0(\Gamma)$  can be approximated by a  $C^\infty$ -smooth one.

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Assume that the Banach space  $X$  admits a Schauder basis  $\{e_i\}_{i \geq 1}$ .  
Let  $X^N$  be

$$X^N := \overline{\text{span}} \{e_i\}_{i \geq N+1} = \ker P_N.$$

Here,  $P_N$  is the natural projection onto  $\text{span} \{e_i\}_{i=1}^N$ . We also denote by  $P^N := I - P_N$  the complementary projection onto  $X^N$ .

Problem (Guirao, Montesinos, Zizler)

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## Theorem (Hájek, R.)

Assume that  $X$  admits a  $C^k$ -smooth renorming. Then for every equivalent norm  $\|\cdot\|$  on  $X$  and every sequence  $\{\varepsilon_N\}_{N \geq 0}$  of positive numbers, there is a  $C^k$ -smooth renorming  $\|\|\cdot\|\|$  of  $X$  such that

$$\left| \|\|\cdot\|\| - \|\cdot\| \right| \leq \varepsilon_N \|\cdot\| \quad \text{on } X^N.$$

In other words, we can approximate every equivalent norm with a  $C^k$ -smooth one in a way that on the “tail vectors” the approximation improves as fast as we wish.

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## Checkpoint

# Sketch of the proof 1/3: a geometric lemma

## Lemma

Let  $(X, \|\cdot\|)$  be a Banach space with Schauder basis  $\{e_i\}_{i \geq 1}$  with basis constant  $K$ . Denote the unit ball of  $X$  by  $B$ , fix  $k \in \mathbb{N}$ , a parameter  $\lambda > 0$ , and consider the sets

$$D := \left\{ x \in X : \|P^k x\| \leq 1/2 \right\} \cap (1 + \lambda) \cdot B,$$

$$C := \overline{\text{conv}} \{D, B\}.$$

Then

$$C \cap X^k \subseteq \left( 1 + \lambda \frac{K}{K + 1/2} \right) \cdot B.$$

The picture doesn't fit in here. ☺



## Sketch of the proof 2/3: iteration

Applying iteratively the lemma (and doing something else, in fact), we find a sequence of norms  $\{\|\cdot\|_n\}_{n \geq 0}$  (all close to  $\|\cdot\|$ ) such that, for some  $\gamma_n \in (0, 1)$ :

- for every  $x \in X$  there is  $n_0$  such that for  $n \geq n_0$

$$\|x\|_n = \frac{1 + \lambda_n \frac{1+\gamma_n}{2}}{1 + \lambda_n} \|x\|_{n-1};$$

- if  $x \in X^N$ , then for  $n = 1, \dots, N$  we have

$$\|x\|_n = \frac{1 + \lambda_n \frac{1+\gamma_n}{2}}{1 + \lambda_n \gamma_n} \|x\|_{n-1}.$$

## Sketch of the proof 3/3: gluing together

Let  $\|\cdot\|_{(s),n}$  be a  $C^k$ -smooth approximation of  $\|\cdot\|_n$ , with

$$\|\cdot\|_n \leq \|\cdot\|_{(s),n} \leq (1 + \delta_n) \|\cdot\|_n.$$

Now find  $\varphi_n : [0, \infty) \rightarrow [0, \infty)$  to be  $C^\infty$ -smooth, convex and such that  $\varphi_n(1) = 1$  and  $\varphi_n = 0$  on  $[0, 1 - \delta_n]$ . Define  $\Phi : X \rightarrow [0, \infty]$  by

$$\Phi(x) := \sum_{n \geq 0} \varphi_n \left( \|x\|_{(s),n} \right).$$

Then the Minkowski functional  $\|\cdot\|$  of  $\{\Phi \leq 1\}$  is the desired norm.

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# Two polyhedral remarks

## Theorem (Deville, Fonf, Hájek; 1998)

Let  $X$  be a separable polyhedral Banach space. Then every equivalent norm on  $X$  can be approximated by:

- 1 a polyhedral norm.
- 2 a  $C^\infty$ -smooth LFC norm.

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Thank you  
for the attention!!!