

Intersection properties of the unit ball

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Joint work with

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published in

J. Math. Anal. Appl. 475 (2019), 1108–1129.

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is an infinite-dimensional (real) Banach space with the closed unit ball B_X , the open unit ball U_X and the unit sphere $S_X := \partial B_X$.

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We say that $B \subset X$ is an *equivalent ball* if B is the closed unit ball of an equivalent norm on X .

We say that $E \subset X^*$ is *1-norming* (or “exactly norming”) if

$$\|x\| = \sup_{x^* \in E} x^*(x) \quad \text{for each } x \in X;$$

or equivalently (by the Hahn-Banach theorem), $\overline{\text{conv}}^{w^*} E = B_{X^*}$.

Where it started (motivation)

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A similar question with $\|\cdot\|_n \searrow \|\cdot\|$.

Answer 2.

Similarly: NO in general for nonreflexive X , but sometimes YES (e.g., $X = \ell_\infty$).

The properties

The notation $C_n \searrow C$ means that $C_1 \supset C_2 \supset \dots$ and $C = \bigcap_n C_n$.

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Definition

X has property:

- (I) if for every sequence $\{B_n\}_{n \in \mathbb{N}}$ of equivalent balls such that $B_X = \bigcap_n B_n$, one has $B_{X^{**}} = \bigcap_n B_n^{\circ\circ}$;

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- (GI) if for every family $\{B_\alpha\}_{\alpha \in I}$ of equivalent balls such that $B_X = \bigcap_\alpha B_\alpha$, one has $B_{X^{**}} = \bigcap_\alpha B_\alpha^{\circ\circ}$;

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- (GI) if for every family $\{B_\alpha\}_{\alpha \in I}$ of equivalent balls such that $B_X = \bigcap_\alpha B_\alpha$, one has $B_{X^{**}} = \bigcap_\alpha B_\alpha^{\circ\circ}$;
- (U) if for every sequence $\{B_n\}_{n \in \mathbb{N}}$ of equivalent balls such that $B_n \nearrow B_X$, one has $B_n^{\circ\circ} \nearrow B_{X^{**}}$.

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Here $(I)\text{-env}(E)$ is the *intermediate envelope* of $E \subset X^*$, defined in [Fonf–Lindenstrauss, *Israel J. Math.*, 2003] as

$$(I)\text{-env}(E) = \bigcap \left\{ \overline{\bigcup_n \text{conv}^{w^*}(E_n)} : E_n \nearrow E \right\}$$

Notice that $\overline{\text{conv}} E \subset (I)\text{-env}(E) \subset \overline{\text{conv}^{w^*}} E$.

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- 2 for each 1-norming $E \subset X^*$, one has $\overline{\text{conv}} E = B_{X^*}$;
- 3 for each $x^{**} \in X^{**} \setminus B_{X^{**}}$, one has

$$X \cap \text{conv}(B_{X^{**}} \cup \{x^{**}\}) \neq B_X (= X \cap B_{X^{**}})$$

*(this is a kind of maximality of $B_{X^{**}}$).*

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Moreover,

$$[(a) \text{ and (I)}] \Rightarrow X^* \text{ is separable.}$$

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Moreover,

$$[(a) \text{ and } (I)] \Rightarrow X^* \text{ is separable.}$$

Corollary

If X satisfies (a) above, then:

$$X \text{ has (I)} \Leftrightarrow X \text{ is a separable Asplund space.}$$

Characterizations of reflexivity

Obviously, every reflexive space has (I), (GI), (U).

Theorem

TFAE:

- 1 X is reflexive;
- 2 X is dual and has (GI);
- 3 every renorming of X has (I);
- 4 every renorming of X has (GI);
- 5 every renorming of X has (U).

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Problem (related to 2 above)

If X is dual and has (I), is X necessarily reflexive?

$C(K)$ spaces

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Theorem (Property (GI) in $C(K)$ spaces)

$C(K)$ has (GI) if and only if K is finite.

Consequently, if K is metrizable and infinite then $C(K)$ fails (I).

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Theorem (Property (I) in $C(K)$ spaces)

We have the following “almost characterization”.

- 1 [Necessary condition.] If $C(K)$ has (I) then every nonempty G_δ set in K has nonempty interior.

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- 1 [Necessary condition.] If $C(K)$ has (I) then every nonempty G_δ set in K has nonempty interior.
- 2 [Sufficient condition.] If every nonempty G_δ set in K contains a nonempty clopen set then $C(K)$ has (I).

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Let K be a compact Hausdorff topological space.

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Theorem (Property (I) in $C(K)$ spaces)

We have the following “almost characterization”.

- 1 [Necessary condition.] If $C(K)$ has (I) then every nonempty G_δ set in K has nonempty interior.
- 2 [Sufficient condition.] If every nonempty G_δ set in K contains a nonempty clopen set then $C(K)$ has (I).

Consequently, if K is zero-dimensional (that is, clopen sets form a base of the topology) [e.g., if K is scattered] then $C(K)$ has (I) if and only if each nonempty G_δ set in K has nonempty interior.

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- 5 $l_\infty(\Gamma)^*$ fails (I).

Sufficient conditions for (GI)

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- 1 *X is Fréchet smooth.*
- 2 *X has the (MIP).*
- 3 *X is polyhedral.*
- 4 *The duality mapping $D: S_X \rightarrow 2^{S_{X^*}}$ is norm-to-weak u.s.c. (E.g., X is very smooth.)*

Property (U)

Recall that X has property (U) if:

for every sequence $\{B_n\}_{n \in \mathbb{N}}$ of equivalent balls such that $B_n \xrightarrow{\overline{}} B_X$,
one has $B_n^{\circ \circ} \xrightarrow{\overline{}} B_{X^{**}}$.

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We say that X^* has property (I^*) if:

for each sequence $\{D_n\}_n$ of equivalent dual balls such that
 $D_n \searrow B_{X^*}$, one has $D_n^{\circ\circ} \searrow B_{X^{***}}$.

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X is a *Grothendieck space* if every w^* -convergent sequence in X^* is w -convergent.

Characterizations of (U)

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- 3 X^* has (I^*) ;
- 4 $(I)\text{-env}(B_X) = B_{X^{**}}$;
- 5 for each bounded $\{x_n^*\}_n \subset X^*$ with $w_{X^*}^*$ -cluster points contained in B_{X^*} , its $w_{X^{***}}^*$ -cluster points are contained in $B_{X^{***}}$;

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- 3 X^* has (I^*) ;
- 4 $(I)\text{-env}(B_X) = B_{X^{**}}$;
- 5 for each bounded $\{x_n^*\}_n \subset X^*$ with $w_{X^*}^*$ -cluster points contained in B_{X^*} , its $w_{X^{***}}^*$ -cluster points are contained in $B_{X^{***}}$;
- 6 X is "1-Grothendieck" (a quantitative version of the Grothendieck property, introduced and studied by H. Bendová *J. Math. Anal. Appl.*, 2014).

Theorem

- 1 *If X has (U) then X is a Grothendieck space.*
- 2 *l_∞ and l_∞/c_0 have (U).*
- 3 *Property (U) passes to quotients, but not to subspaces.*

Theorem

- 1 If X has (U) then X is a Grothendieck space.
- 2 l_∞ and l_∞/c_0 have (U).
- 3 Property (U) passes to quotients, but not to subspaces.

Remark (Characterization of (U) in $C(K)$ spaces)

*O.F.K. Kalenda [Israel J. Math., 2007] characterized $C(K)$ spaces such that $(I)\text{-env}(B_{C(K)}) = B_{C(K)}^{**}$ (that is, having property (U)) in terms of sequences of Radon probability measures on K .*

The *ball topology* is the smallest (not necessarily Hausdorff) topology in which every closed ball is closed.

Definition

X has the property:

- (BGP) [“*ball generated property*”] if every closed bounded convex set is ball-closed;
- (P) if for each $x^* \in X^*$, the restriction $x^*|_{B_X}$ is ball-sequentially continuous;
- (N) [“*nicely smooth*”] if X^* has no proper subspaces Y such that $B_Y \subset B_{X^*}$ is 1-norming for X .

Theorem (Chen–Hu–Lin, Jimenez Sevilla–Moreno)

If X is Asplund then TFAE:

- (a) $X^* = \overline{\text{span}}(w^*\text{-strex } B_{X^*})$;
- (b) X has (BGP);
- (c) X has (N).

Moreover, for separable X any of the above conditions is equivalent to (P) and implies that X^ is separable.*

Theorem

If X is Asplund then TFAE:

- (a) $B_{X^*} = \overline{\text{conv}}(w^*\text{-strex } B_{X^*})$;
- (b) X has (GI).

Moreover, for separable X any of the above conditions is equivalent to (I) and implies that X^ is separable.*

Problem

If X^ has (I), is X necessarily reflexive?*
(For (GI), yes: see before.)

Some open problems

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For Γ uncountable, does $\ell_1(\Gamma)$ admit a renorming with (I)?
(For $\Gamma = \mathbb{N}$, no: its dual is not separable!)

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For Γ uncountable, does $\ell_1(\Gamma)$ admit a renorming with (I)?
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If X has (I), does it contain a separable subspace with (I)?

Theorem

① *One always has: \forall 1-norming $A \subset X^*$, $\overline{\text{conv}}^{w^*} A = B_{X^*}$.*

Theorem

- 1 One always has: \forall 1-norming $A \subset X^*$, $\overline{\text{conv}}^{w^*} A = B_{X^*}$.
- 2 X has (I) $\Leftrightarrow \forall$ symmetric 1-norming $A \subset X^*$,
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- 4 X has (U) $\Leftrightarrow X^*$ has (I^{*}) \Leftrightarrow (I)-env $(B_X) = B_{X^{**}} \Leftrightarrow X$ is
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Thank you for attention!